

# ACGME Institutional Requirements

# **Revision Information**

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#### **Definitions**

For more information, see the ACGME Glossary of Terms.

Core Requirements: Statements that define structure, resource, or process elements essential to every graduate medical educational program.

Detail Requirements: Statements that describe a specific structure, resource, or process, for achieving compliance with a Core Requirement. Programs and sponsoring institutions in substantial compliance with the Outcome Requirements may utilize alternative or innovative approaches to meet Core Requirements.

Outcome Requirements: Statements that specify expected measurable or observable attributes (knowledge, abilities, skills, or attitudes) of residents or fellows at key stages of their graduate medical education.

# **Table of Contents**

Section 1: Structure for Educational Oversight	3
Section 2: Institutional Resources	
Section 3: The Learning and Working Environment	10
Section 4: Institutional GME Policies and Procedures	12

# **Section 1: Structure for Educational Oversight**

- 1.1. Sponsoring Institution
  - Residency and fellowship programs accredited by the ACGME must function under the ultimate authority and oversight of one Sponsoring Institution. Oversight of resident/ fellow assignments and of the quality of the learning and working environment by the Sponsoring Institution extends to all participating sites. (Core)
- 1.1.a. The Sponsoring Institution must be in substantial compliance with the ACGME Institutional Requirements and must ensure that each of its ACGME-accredited programs is in substantial compliance with the ACGME Institutional, Common, specialty-/subspecialty-specific Program, and Recognition Requirements, as well as with ACGME Policies and Procedures. (Outcome)
- 1.1.b. The Sponsoring Institution must maintain its ACGME institutional accreditation. Failure to do so will result in loss of accreditation for its ACGME-accredited program(s). (Outcome)
- 1.1.c. The Sponsoring Institution and each of its ACGME-accredited programs must only assign residents/fellows to learning and working environments that facilitate patient safety and health care quality. (Outcome)
- 1.2. The Sponsoring Institution must identify a designated institutional official (DIO). (Core)
- 1.2.a. This individual, in collaboration with a Graduate Medical Education Committee (GMEC), must have authority and responsibility for the oversight and administration of each of the Sponsoring Institution's ACGME-accredited programs, as well as for ensuring compliance with the ACGME Institutional, Common, specialty-/ subspecialty-specific Program, and Recognition Requirements. (Core)
- 1.2.b. The DIO must approve program letters of agreement (PLAs) that govern relationships between each program and each participating site providing a required assignment for residents/fellows in the program. (Core)
- 1.2.c. The DIO must oversee submissions of the Annual Update for each program and the Sponsoring Institution to the ACGME. (Core)
- 1.2.d. The DIO must after GMEC approval, oversee the submission of applications for ACGME accreditation and recognition, requests for voluntary withdrawal of accreditation and recognition, and requests for changes in residency and fellowship program complements. (Core)
- 1.3. The Sponsoring Institution must identify a governing body, which is the single entity that maintains authority over and responsibility for the Sponsoring Institution and each of its ACGME-accredited programs. (Core)

- 1.4. A written statement, reviewed, dated, and signed at least once every five years by the DIO, a representative of the Sponsoring Institution's senior administration, and a representative of the governing body, must document the Sponsoring Institution's:
- 1.4.a. GME mission; and, (Core)
- 1.4.b. commitment to GME by ensuring the provision of the necessary administrative, educational, financial, human, and clinical resources. (Core)
- 1.5. The Sponsoring Institution must complete a Self-Study prior to its 10-Year Accreditation Site Visit. (Core)
- 1.6. Any Sponsoring Institution or participating site that is a hospital must maintain accreditation to provide patient care. (Core)
- 1.6.a. Accreditation for patient care must be provided by:
- 1.6.a.1. an entity granted "deeming authority" for participation in Medicare under federal regulations; or, (Core)
- 1.6.a.2. an entity certified as complying with the conditions of participation in Medicare under federal regulations. (Core)
- 1.7. When a Sponsoring Institution or major participating site that is a hospital loses its accreditation for patient care, the Sponsoring Institution must notify and provide a plan for its response to the Institutional Review Committee within 30 days of such loss. Based on the particular circumstances, the ACGME may invoke its procedures related to alleged egregious and/or catastrophic events. (Core)
- 1.8. When a Sponsoring Institution's or participating site's license is denied, suspended, or revoked, or when a Sponsoring Institution or participating site is required to curtail activities, or is otherwise restricted, the Sponsoring Institution must notify and provide a plan for its response to the Institutional Review Committee within 30 days of such loss or restriction. Based on the particular circumstances, the ACGME may invoke its procedures related to alleged egregious and/or catastrophic events. (Core)
- 1.9. Graduate Medical Education Committee (GMEC) Membership
- 1.9.a. A Sponsoring Institution with multiple ACGME-accredited programs must have a GMEC that includes at least the following voting members: (Core)
- 1.9.a.1. the DIO; (Core)
- 1.9.a.2. a representative sample of program directors (minimum of two) from its ACGME-accredited programs; (Core)
- 1.9.a.3. a minimum of two peer-selected residents/fellows from among its ACGME-accredited programs; and, (Core)

- a quality improvement or patient safety officer or designee. (Core) 1.9.a.4. 1.9.b. A Sponsoring Institution with one program must have a GMEC that includes at least the following voting members: 1.9.b.1. the DIO: (Core) the program director when the program director is not the DIO; (Core) 1.9.b.2. 1.9.b.3. one of the program's core faculty members other than the program director, if the program includes core faculty members other than the program director: (Core) 1.9.b.4. a minimum of two peer-selected residents/fellows from its ACGME-accredited program or the only resident/fellow if the program includes only one resident/ fellow; (Core) 1.9.b.5. the individual or designee responsible for monitoring quality improvement or patient safety if this individual is not the DIO or program director; and, (Core)
- 1.10. Additional GMEC members and subcommittees: In order to carry out portions of the GMEC's responsibilities, additional GMEC membership may include others as determined by the GMEC. (Detail)
- 1.10.a. Subcommittees that address required GMEC responsibilities must include a peer-selected resident/fellow. (Detail)
- 1.11. Meetings and Attendance

member. (Core)

1.9.b.6.

The GMEC must meet a minimum of once every quarter during each academic year. (Core)

one or more individuals who are actively involved in GME, are outside the program, and are not the DIO or the quality improvement or patient safety

- 1.11.a. Each meeting of the GMEC must include attendance by at least one resident/fellow member. (Core)
- 1.11.b. The GMEC must maintain meeting minutes that document execution of all required GMEC functions and responsibilities. (Core)
- 1.12. Responsibilities

GMEC responsibilities must include oversight of:

1.12.a. ACGME accreditation and recognition statuses of the Sponsoring Institution and each of its ACGME-accredited programs; (Outcome)

- 1.12.b. the quality of the GME learning and working environment within the Sponsoring Institution, each of its ACGME-accredited programs, and its participating sites; (Outcome)
- 1.12.c. the quality of educational experiences in each ACGME-accredited program that lead to measurable achievement of educational outcomes as identified in the ACGME Common and specialty-/subspecialty-specific Program Requirements; (Outcome)
- 1.12.d. the ACGME-accredited program(s)' annual program evaluation(s) and Self-Study(ies); (Core)
- 1.12.e. ACGME-accredited programs' implementation of institutional policy(ies) for vacation and leaves of absence, including medical, parental, and caregiver leaves of absence, at least annually; (Core)
- 1.12.f. all processes related to reductions and closures of individual ACGME-accredited programs, major participating sites, and the Sponsoring Institution; and, (Core)
- 1.12.g. the provision of summary information of patient safety reports to residents, fellows, faculty members, and other clinical staff members. At a minimum, this oversight must include verification that such summary information is being provided. (Detail)
- 1.13. GMEC responsibilities must include review and approval of:
- 1.13.a. institutional GME policies and procedures; (Core)
- 1.13.b. GMEC subcommittee actions that address required GMEC responsibilities; (Core)
- 1.13.c. annual recommendations to the Sponsoring Institution's administration regarding resident/fellow stipends and benefits: (Core)
- 1.13.d. applications for ACGME accreditation of new programs; (Core)
- 1.13.e. requests for permanent changes in resident/fellow complement; (Core)
- 1.13.f. major changes in each of its ACGME-accredited programs' structure or duration of education, including any change in the designation of a program's primary clinical site: (Core)
- 1.13.g. additions and deletions of each of its ACGME-accredited programs' participating sites: (Core)
- 1.13.h. appointment of new program directors; (Core)
- 1.13.i. progress reports requested by a Review Committee: (Core)
- 1.13.j. responses to Clinical Learning Environment Review (CLER) reports; (Core)
- 1.13.k. requests for exceptions to clinical and educational work hour requirements; (Core)

1.13.l.	voluntary	/ withdrawal	of ACGME	program	accreditation	or recognition:	(Core
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- 1.13.m. requests for appeal of an adverse action by a Review Committee; (Core)
- 1.13.n. appeal presentations to an ACGME Appeals Panel; and, (Core)
- 1.13.o. exceptionally qualified candidates for resident/fellow appointments who do not satisfy the Sponsoring Institution's resident/fellow eligibility policy and/or resident/fellow eligibility requirements in the Common Program Requirements. (Core)
- 1.14. The GMEC must demonstrate effective oversight of the Sponsoring Institution's accreditation through an Annual Institutional Review (AIR). (Outcome)
- 1.14.a. The GMEC must identify institutional performance indicators for the AIR, to include, at a minimum: (Core)
- 1.14.a.1. the most recent ACGME institutional letter of notification; (Core)
- 1.14.a.2. results of ACGME surveys of residents/fellows and core faculty members; and, (Core)
- 1.14.a.3. each of its ACGME-accredited programs' ACGME accreditation information, including accreditation and recognition statuses and citations. (Core)
- 1.14.b. The DIO must annually submit a written executive summary of the AIR to the Sponsoring Institution's Governing Body. (Core)
- 1.14.b.1. The written executive summary must include a summary of institutional performance on indicators for the AIR. (Core)
- 1.14.b.2. The written executive summary must include action plans and performance monitoring procedures resulting from the AIR. (Core)
- 1.15. The GMEC must demonstrate effective oversight of underperforming program(s) through a Special Review process. (Core)
- 1.15.a. The Special Review process must include a protocol that: (Core)
- 1.15.a.1. establishes a variety of criteria for identifying underperformance that includes, at a minimum, program accreditation statuses of Initial Accreditation with Warning, Continued Accreditation with Warning, and adverse accreditation statuses as described by ACGME policies; and, (Core)
- 1.15.a.2. results in a timely report that describes the quality improvement goals, the corrective actions, and the process for GMEC monitoring of outcomes, including timelines. (Core)

# **Section 2: Institutional Resources**

- 2.1. Institutional GME Infrastructure and Operations
  - The Sponsoring Institution must ensure that the DIO has sufficient support and dedicated time to effectively carry out educational, administrative, and leadership responsibilities. (Core)
- 2.1.a. The Sponsoring Institution must ensure that the DIO engages in professional development applicable to responsibilities as an educational leader. (Core)
- 2.1.b. The Sponsoring Institution must ensure that sufficient salary support and resources are provided for effective GME administration. (Core)
- 2.2. Program Administration

The Sponsoring Institution, in partnership with each of its ACGME-accredited programs, must ensure the availability of adequate resources for resident/fellow education, including:

- 2.2.a. support and dedicated time for the program director(s) to effectively carry out educational, administrative, and leadership responsibilities, as described in the Institutional, Common, and specialty-/subspecialty-specific Program Requirements; (Core)
- 2.2.b. support for core faculty members to ensure both effective supervision and quality resident/fellow education; (Core)
- 2.2.c. support for professional development applicable to program directors' and core faculty members' responsibilities as educational leaders; (Core)
- 2.2.d. support and time for the program coordinator(s) to effectively carry out responsibilities; and, (Core)
- 2.2.e. resources, including space, technology, and supplies, to provide effective support for each of its ACGME-accredited programs. (Core)
- 2.3. Resident/Fellow Forum
  - The Sponsoring Institution with more than one program must ensure availability of an organization, council, town hall, or other platform that allows all residents/fellows from within and across the Sponsoring Institution's ACGME-accredited programs to communicate and exchange information with other residents/fellows relevant to their ACGME-accredited programs and their learning and working environment. (Core)
- 2.3.a. Any resident/fellow from one of the Sponsoring Institution's ACGME-accredited programs must have the opportunity to directly raise a concern to the forum. (Core)

- 2.3.b. Residents/fellows must have the option, at least in part, to conduct their forum without the DIO, faculty members, or other administrators present. (Core)
- 2.3.c. Residents/fellows must have the option to present concerns that arise from discussions at the forum to the DIO and GMEC. (Core)
- 2.4. Resident Salary and Benefits

The Sponsoring Institution, in partnership with its ACGME-accredited programs and participating sites, must provide all residents/fellows with financial support and benefits to ensure that they are able to fulfill the responsibilities of their ACGME-accredited program(s). (Core)

# 2.5. Educational Tools

Communication resources and technology: Faculty members and residents/fellows must have ready access to adequate communication resources and technological support. (Core)

- 2.5.a. Access to medical literature: Faculty members and residents/fellows must have ready access to electronic medical literature databases and specialty-/subspecialty-specific and other appropriate full-text reference material in print or electronic format. (Core)
- 2.6. Support Services and Systems

The Sponsoring Institution must provide support services and develop health care delivery systems to minimize residents'/fellows' work that is extraneous to their ACGME-accredited program(s)' educational goals and objectives, and to ensure that residents'/ fellows' educational experience is not compromised by excessive reliance on residents/ fellows to fulfill non-physician service obligations. (Core)

- 2.6.a. These support services and systems must include peripheral intravenous access placement, phlebotomy, laboratory, pathology and radiology services and patient transportation services provided in a manner appropriate to and consistent with educational objectives and to support high quality and safe patient care. (Core)
- 2.6.b. These support services and systems must include medical records available at all participating sites to support high quality and safe patient care, residents'/fellows' education, quality improvement and scholarly activities. (Core)
- 2.6.c. These support services and systems must include institutional processes for ensuring the availability of resources to support residents'/fellows' well-being and education by minimizing impact to clinical assignments resulting from leaves of absence. (Core)

# **Section 3: The Learning and Working Environment**

- 3.1. The Sponsoring Institution and each of its ACGME-accredited programs must provide a learning and working environment in which residents/fellows and faculty members have the opportunity to raise concerns and provide feedback without intimidation or retaliation, and in a confidential manner, as appropriate. (Core)
- 3.2. The Sponsoring Institution is responsible for oversight and documentation of resident/ fellow engagement in the following: (Core)
- 3.2.a. Patient Safety

The Sponsoring Institution must ensure that residents/fellows have access to systems for reporting errors, adverse events, unsafe conditions, and near misses in a protected manner that is free from reprisal. (Core)

- 3.2.a.1. The Sponsoring Institution must ensure that residents/fellows have opportunities to contribute to root cause analysis or other similar risk-reduction processes. (Core)
- 3.2.b. Quality Improvement

The Sponsoring Institution must ensure that residents/fellows have access to data to improve systems of care, reduce health care disparities, and improve patient outcomes. (Core)

- 3.2.b.1. The Sponsoring Institution must ensure that residents/fellows have opportunities to participate in quality improvement initiatives. (Core)
- 3.2.c. Transitions of Care

The Sponsoring Institution must facilitate professional development for core faculty members and residents/fellows regarding effective transitions of care. (Core)

- 3.2.c.1. The Sponsoring Institution must in partnership with its ACGME-accredited program(s), ensure and monitor effective, structured patient hand-over processes to facilitate continuity of care and patient safety at participating sites. (Core)
- 3.2.d. Supervision and Accountability

The Sponsoring Institution must oversee supervision of residents/fellows consistent with institutional and program-specific policies. (Core)

- 3.2.d.1. The Sponsoring Institution must oversee mechanisms by which residents/ fellows can report inadequate supervision and accountability in a protected manner that is free from reprisal. (Core)
- 3.2.e. Clinical Experience and Education

The Sponsoring Institution must oversee resident/fellow clinical and educational work hours, consistent with the Common and specialty-/subspecialty-specific

Program Requirements across all programs, addressing areas of non-compliance in a timely manner. (Core)

- 3.2.e.1. The Sponsoring Institution must oversee systems of care and learning and working environments that facilitate fatigue mitigation for residents/fellows.
- 3.2.e.2. The Sponsoring Institution must oversee an educational program for residents/ fellows and faculty members in fatigue mitigation. (Core)
- 3.2.f. Professionalism

The Sponsoring Institution, in partnership with the program director(s) of its ACGME-accredited program(s), must provide a culture of professionalism that supports patient safety and personal responsibility. (Core)

- 3.2.f.1. The Sponsoring Institution, in partnership with its ACGME-accredited program(s), must educate residents/fellows and faculty members concerning the professional responsibilities of physicians, including their obligation to be appropriately rested and fit to provide the care required by their patients. (Core)
- 3.2.f.2. The Sponsoring Institution must provide systems for education in and monitoring of residents'/fellows' and core faculty members' fulfillment of educational and professional responsibilities, including scholarly pursuits. (Core)
- 3.2.f.3. The Sponsoring Institution must provide systems for education in and monitoring of accurate completion of required documentation by residents/fellows. (Core)
- 3.2.f.4. The Sponsoring Institution must ensure that its ACGME-accredited program(s) provide(s) a professional, fair, respectful and civil environment that is free from unprofessional behavior, including discrimination, sexual , and other forms of harassment, mistreatment, abuse, and/or coercion of residents/fellows, other learners, faculty members, and staff members. (Core)
- 3.2.f.4.a. The Sponsoring Institution, in partnership with its ACGME-accredited program(s), must have a process for education of residents/fellows and faculty members regarding unprofessional behavior, and a confidential process for reporting, investigating, monitoring, and addressing such concerns in a timely manner. (Core)
- 3.2.g. Well-Being

The Sponsoring Institution must oversee its ACGME-accredited program's(s') fulfillment of responsibility to address well-being of residents/fellows and faculty members, consistent with the Common and specialty-/subspecialty-specific Program Requirements, addressing areas of non-compliance in a timely manner. (Core)

3.2.g.1. The Sponsoring Institution, in partnership with its ACGME-accredited program(s), must educate faculty members and residents/fellows in

identification of the symptoms of burnout, depression, and substance abuse, including means to assist those who experience these conditions. This responsibility includes educating residents/fellows and faculty members in how to recognize those symptoms in themselves, and how to seek appropriate care. (Core)

- 3.2.g.2. The Sponsoring Institution, in partnership with its ACGME-accredited program(s), must encourage residents/fellows and faculty members to alert their program director, DIO, or other designated personnel or programs when they are concerned that another resident/fellow or faculty member may be displaying signs of burnout, depression, substance abuse, suicidal ideation, or potential for violence. (Core)
- 3.2.g.3. The Sponsoring Institution, in partnership with its ACGME-accredited program(s), must provide access to appropriate tools for self screening. (Core)
- 3.2.g.4. The Sponsoring Institution, in partnership with its ACGME-accredited program(s), must provide access to confidential, affordable mental health assessment, counseling, and treatment, including access to urgent and emergent care 24 hours a day, seven days a week. (Core)
- 3.2.g.5. The Sponsoring Institution must ensure a healthy and safe clinical and educational environment that provides for: (Core)
- 3.2.g.5.a. access to food during clinical and educational assignments; (Core)
- 3.2.g.5.b. sleep/rest facilities that are safe, quiet, clean, and private, and that must be available and accessible for residents/fellows, with proximity appropriate for safe patient care; (Core)
- 3.2.g.5.c. safe transportation options for residents/fellows who may be too fatigued to safely return home on their own; (Core)
- 3.2.g.5.d. clean and private facilities for lactation with proximity appropriate for safe patient care, and clean and safe refrigeration resources for the storage of breast milk; (Core)
- 3.2.g.5.e. safety and security measures appropriate to the clinical learning environment site; and, (Core)
- 3.2.g.5.f. accommodations for residents/fellows with disabilities, consistent with the Sponsoring Institution's policy. (Core)

# **Section 4: Institutional GME Policies and Procedures**

4.1. The Sponsoring Institution must demonstrate adherence to all institutional graduate medical education policies and procedures. (Core)

4.2. Resident/Fellow Appointments

The Sponsoring Institution must have written policies and procedures for resident/fellow recruitment, selection, eligibility, and appointment consistent with ACGME Institutional and Common Program Requirements, and Recognition Requirements (if applicable), and must monitor each of its ACGME-accredited programs for compliance. (Core)

- 4.2.a. An applicant must meet one of the following qualifications to be eligible for appointment to an ACGME-accredited program: (Core)
- 4.2.a.1. graduation from a medical school in the United States, accredited by the Liaison Committee on Medical Education (LCME); or, (Core)
- 4.2.a.2. graduation from a college of osteopathic medicine in the United States, accredited by the American Osteopathic Association (AOA); or, (Core)
- 4.2.a.3. graduation from a medical school outside of the United States, and meeting one of the following additional qualifications: (Core)
  - holds a currently-valid certificate from the Educational Commission for Foreign Medical Graduates prior to appointment; or, (Core)
  - holds a full and unrestricted license to practice medicine in a United States licensing jurisdiction in his or her current ACGME specialty-/subspecialty program. (Core)
- 4.2.b. An applicant invited to interview for a resident/fellow position must be informed, in writing or by electronic means, of the terms, conditions, and benefits of appointment to the ACGME-accredited program, either in effect at the time of the interview or that will be in effect at the time of the applicant's eventual appointments. (Core)
- 4.2.b.1. Information that is provided must include stipends, benefits, professional liability coverage, and disability insurance accessible to residents/fellows. (Core)
- 4.2.b.2. Information that is provided must include institutional policy(ies) for vacation and leaves of absence, including medical, parental, and caregiver leaves of absence. (Core)
- 4.2.b.3. Information that is provided must include health insurance accessible to residents/fellows and their eligible dependents. (Core)
- 4.3. Agreement of Appointment/Contract

The Sponsoring Institution must ensure that residents/fellows are provided with a written agreement of appointment/contract outlining the terms and conditions of their appointment to a program. The Sponsoring Institution must monitor each of its programs with regard to implementation of terms and conditions of appointment. (Core)

4.3.a. The contract/agreement of appointment must directly contain or provide a reference to the following items: (Core)

- resident/fellow responsibilities: (Core) 4.3.a.1. duration of appointment; (Core) 4.3.a.2. financial support for residents/fellows; (Core) 4.3.a.3. conditions for reappointment and promotion to a subsequent PGY level; (Core) 4.3.a.4. grievance and due process; (Core) 4.3.a.5. 4.3.a.6. professional liability insurance, including a summary of pertinent information regarding coverage: (Core) health insurance benefits for residents/fellows and their eligible dependents; 4.3.a.7. (Core) 4.3.a.8. disability insurance for residents/fellows; (Core) 4.3.a.9. vacation and leave(s) of absence for residents/fellows, including medical. parental, and caregiver leave(s) of absence, and compliant with applicable laws: (Core) 4.3.a.10. timely notice of the effect of leave(s) of absence on the ability of residents/ fellows to satisfy requirements for program completion; (Core) information related to eligibility for specialty board examinations; and, (Core) 4.3.a.11. 4.3.a.12. institutional policies and procedures regarding resident/fellow clinical and educational work hours and moonlighting. (Core) 4.4. Promotion, Appointment Renewal and Dismissal The Sponsoring Institution must have a policy that requires each of its ACGME-
- 4.4.a. The Sponsoring Institution must ensure that each of its programs provides a resident/fellow with a written notice of intent when that resident's/fellow's agreement will not be renewed, when that resident/fellow will not be promoted to the next level of training, or when that resident/fellow will be dismissed. (Core)

accredited programs to determine the criteria for promotion and/or renewal of a

4.4.b. The Sponsoring Institution must have a policy that provides residents/fellows with due process relating to the following actions regardless of when the action is taken during the appointment period: suspension, non-renewal, non-promotion; or dismissal. (Core)

## 4.5. Grievances

The Sponsoring Institution must have a policy that outlines the procedures for submitting and processing resident/fellow grievances at the program and institutional level and that minimizes conflicts of interest. (Core)

resident's/fellow's appointment. (Core)

4.6. Professional Liability Insurance

The Sponsoring Institution must ensure that residents/fellows are provided with professional liability coverage, including legal defense and protection against awards from claims reported or filed during participation in each of its ACGME-accredited programs, or after completion of the program(s) if the alleged acts or omissions of a resident/fellow are within the scope of the program(s). (Core)

- 4.6.a. The Sponsoring Institution must ensure that residents/fellows are provided with official documentation of the details of their professional liability coverage before the start date of resident/fellow appointments. (Core)
- 4.6.b. The Sponsoring Institution must ensure that residents/fellows are provided with written advance notice of any substantial change to the details of their professional liability coverage. (Core)
- 4.7. Health and Disability Insurance

The Sponsoring Institution must ensure that residents/fellows are provided with health insurance benefits for residents/fellows and their eligible dependents beginning on the first day of insurance eligibility. (Core)

- 4.7.a. If the first day of health insurance eligibility is not the first day that residents/fellows are required to report, then the residents/fellows must be given advanced access to information regarding interim coverage so that they can purchase coverage if desired. (Core)
- 4.7.b. The Sponsoring Institution must ensure that residents/fellows are provided with disability insurance benefits for residents/fellows beginning on the first day of disability insurance eligibility. (Core)
- 4.7.b.1. If the first day of disability insurance eligibility is not the first day that residents/ fellows are required to report, then the residents/fellows must be given advanced access to information regarding interim coverage so that they can purchase coverage if desired. (Core)
- 4.8. Vacation and Leaves of Absence

The Sponsoring Institution must have a policy for vacation and leaves of absence, consistent with applicable laws. (Core)

- 4.8.a. This policy must provide residents/fellows with a minimum of six weeks of approved medical, parental, and caregiver leave(s) of absence for qualifying reasons that are consistent with applicable laws at least once and at any time during an ACGME-accredited program, starting the day the resident/fellow is required to report. (Core)
- 4.8.b. This policy must provide residents/fellows with at least the equivalent of 100 percent of their salary for the first six weeks of the first approved medical, parental, or caregiver leave(s) of absence taken. (Core)

- 4.8.c. This policy must provide residents/fellows with a minimum of one week of paid time off reserved for use outside of the first six weeks of the first approved medical, parental, or caregiver leave(s) of absence taken. (Core)
- 4.8.d. This policy must ensure the continuation of health and disability insurance benefits for residents/fellows and their eligible dependents during any approved medical, parental, or caregiver leave(s) of absence. (Core)
- 4.8.e. This policy must describe the process for submitting and approving requests for leaves of absence. (Core)
- 4.8.f. This policy must be available for review by residents/fellows at all times. (Core)
- 4.8.g. This policy must ensure that each of its ACGME-accredited programs provides its residents/fellows with accurate information regarding the impact of an extended leave of absence upon the criteria for satisfactory completion of the program and upon a resident's/fellow's eligibility to participate in examinations by the relevant certifying board(s). (Core)
- 4.9. Resident Services
- 4.9.a. Behavioral Health

The Sponsoring Institution must ensure that residents/fellows are provided with access to confidential counseling and behavioral health services. (Core)

4.9.b. Physician Impairment

The Sponsoring Institution must have a policy, not necessarily GME-specific, which addresses physician impairment. (Core)

4.9.c. Harassment

The Sponsoring Institution must have a policy, not necessarily GME-specific, covering sexual and other forms of harassment, that allows residents/fellows access to processes to raise and resolve complaints in a safe and non-punitive environment and in a timely manner, consistent with applicable laws and regulations. (Core)

4.9.d. Accommodation for Disabilities

The Sponsoring Institution must have a policy, not necessarily GME-specific, regarding accommodations for disabilities consistent with all applicable laws and regulations. (Core)

4.9.e. Discrimination

The Sponsoring Institution must have policies and procedures, not necessarily GME-specific, prohibiting discrimination in employment and in the learning and working environment, consistent with all applicable laws and regulations. (Core)

4.10. Supervision

The Sponsoring Institution must maintain an institutional policy regarding supervision of residents/fellows. (Core)

4.10.a. The Sponsoring Institution must ensure that each of its ACGME-accredited programs establishes a written program-specific supervision policy consistent with the institutional policy and the respective ACGME Common and specialty-/ subspecialty-specific Program Requirements. (Core)

# 4.11. Clinical and Educational Work Hours:

The Sponsoring Institution must maintain a clinical and educational work hour policy that ensures effective oversight of institutional and program-level compliance with ACGME clinical and educational work hour requirements. (Core)

# 4.11.a. Moonlighting

The Sponsoring Institution must maintain a policy on moonlighting that includes the following:

- 4.11.a.1. residents/fellows must not be required to engage in moonlighting; (Core)
- 4.11.a.2. residents/fellows must have written permission from their program director to moonlight; (Core)
- 4.11.a.3. an ACGME-accredited program will monitor the effect of moonlighting activities on a resident's/fellow's performance in the program, including that adverse effects may lead to withdrawal of permission to moonlight; and, (Core)
- 4.11.a.4. the Sponsoring Institution or individual ACGME-accredited programs may prohibit moonlighting by residents/fellows. (Core)

## 4.12. Vendors

The Sponsoring Institution must maintain a policy that addresses interactions between vendor representatives/corporations and residents/fellows and each of its ACGME-accredited programs. (Core)

# 4.13. Non-competition

The Sponsoring Institution must maintain a policy which states that neither the Sponsoring Institution nor any of its ACGME-accredited programs will require a resident/ fellow to sign a non-competition guarantee or restrictive covenant. (Core)

# 4.14. Substantial Disruptions in Patient Care or Education

The Sponsoring Institution must maintain a policy consistent with ACGME Policies and Procedures that addresses support for each of its ACGME-accredited programs and residents/fellows in the event of a disaster or other substantial disruption in patient care or education. (Core)

4.14.a. This policy must include information about assistance for continuation of salary, benefits, professional liability coverage, and resident/fellow assignments. (Core)

## 4.15. Closures and Reductions

The Sponsoring Institution must maintain a policy that addresses GMEC oversight of reductions in size or closure of each of its ACGME-accredited programs, or closure of the Sponsoring Institution that includes the following: (Core)

- 4.15.a. the Sponsoring Institution must inform the GMEC, DIO, and affected residents/ fellows as soon as possible when it intends to reduce the size of or close one or more ACGME-accredited programs, or when the Sponsoring Institution intends to close; and, (Core)
- 4.15.b. the Sponsoring Institution must allow residents/fellows already in an affected ACGME-accredited program(s) to complete their education at the Sponsoring Institution, or assist them in enrolling in (an)other ACGME-accredited program(s) in which they can continue their education. (Core)